

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/859,616
Filing Date May 16, 2001
Inventorship Randy D. Sines
Group Art Unit 2165
Examiner Unknown
Attorney's Docket No. CA67-008
Title: Purchasing on the Internet Using Verified Order Information and Bank Payment Assurance

Transmittal Letter and Certificate of Mailing

To: Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

From: Randy A. Gregory
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Enclosed are:

1. PTO Return Postcard Receipt
2. Transmittal Letter with Certificate of Mailing included.
3. Information Disclosure Statement including PTO-1449
4. Cited references

2165
#4
Ref
9-24-02

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GROUP 3600

☒ Small Entity Status is claimed.

☐ Large Entity Status Applies.

Deposit Account Authorization - The Commissioner is hereby authorized to charge payment of fees or credit overpayment to Deposit Account No. 23-0925 in connection with: any patent application processing fees under 37 CFR 1.17; and any filing fees under 37 CFR 1.16 for the presentation of extra claims.

Date:

Sept. 10, 2002

By:

Randy A. Gregory
Randy A. Gregory
Reg. No. 30,386

CERTIFICATE OF MAILING

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Box Non-Fee Amendment, The Assistant Commissioner for Patents, Washington, D.C. 20231, on the below-indicated date.

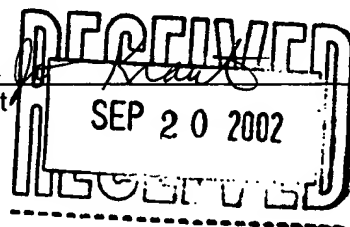
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP 3600

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INFORMATION DISCLOSURE STATEMENT

This document is being submitted in an effort to comply with the duty of disclosure defined in the Code of Federal Regulations, specifically 37 CFR §1.56. The duty of disclosure imposed by the Patent Office extends to inventors, the applicant's attorneys, and others associated with the filing and prosecution of the patent application. It requires that all information known to such individuals which is material to the patentability of this invention be disclosed to the Patent Office.


The information being submitted is listed on the attached form PTO-1449. The listing includes patentee, patent number, and issue date. Foreign documents are identified by country, document number, and publication date. Magazine articles and other documents are identified by author, title, page no., date and place of publication. The Examiner is requested to make these citations of official record in this application. Copies are enclosed unless otherwise noted. Available translations of any foreign documents are submitted in compliance with 37 CFR §1.98(c).

The submission of this material is not an admission that the information is in fact prior art properly assertible against the application. The applicant(s) specifically reserve the right to question or prove that this information is not properly asserted against one or more claims of this application or claims submitted in a derivative application.

This submission is being made pursuant to the following provision(s):

1. ☐ 37 CFR §1.97(b)(1-2) - No fee is due because it is being submitted within three (3) months of the filing date or national stage entry date.
2. ☒ 37 CFR §1.97(b)(3) - No fee is due because it is being submitted before the mailing date of the first office action on the merits.
3. ☐ 37 CFR §1.97(c) - A fee is due because it is being submitted without a certification after the mailing date of the first office action on the merits and after 3 months from the filing date.
4. ☐ 37 CFR §1.97(c) & (e) - This submission is before the mailing of a final action and before mailing of a notice of allowance. No fee is due because it is being submitted with a certification as provided below.
5. ☐ 37 CFR §1.97(d) & (e) - This submission is being made before payment of the issue fee and after the mailing of a final action or after mailing of a notice of allowance. Also enclosed are a petition and the fee is either enclosed or should be withdrawn from the deposit account indicated in the transmittal letter.
6. ☐ 37 CFR §1.98(d) - Copies of some or all of the references are not being submitted because they were submitted in prior application Serial No. upon which priority is claimed. Copies of any references not excepted under this provision are enclosed.
7. ☐ 37 CFR §1.97(a)(3) - An explanation of the relevance of the references is not provided except unless this box is checked. If checked, then a concise explanation of the relevance is provided in connection with each item which is not in the English language.
8. ☐ Some or all of the references are incomplete. Applicant has submitted everything in possession.

Date: Sept. 10, 2002

By: 
Randy A. Gregory
Reg. No. 30,386

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)		ATTY. DOCKET NO. CA67-008		SERIAL NO. 09/859,616	
		APPLICANT Randy D. Sines			
		FILING DATE May 16, 2001		GROUP 2165	

FORM TOS-1449
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U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	6,351,739 B1	02/2002	Egendorf	705	40	
	AB	6,332,134 B1	12/2001	Foster	705	39	
	AC	6,267,292 B1	07/2001	Walker et al.	235	379	
	AD	6,226,624 B1	05/2001	Watson et al.	705	44	
	AE	5,991,750	11/1999	Watson	705	44	
	AF	5,903,721	05/1999	Sixtus	395	187.01	
	AG	5,870,723	02/1999	Pare, Jr. et al.	705	39	
	AH	5,838,812	11/1998	Pare, Jr. et al.	382	115	
	AI	5,826,245	10/1998	Sandberg-Diment	705	44	
	AJ	5,805,719	09/1998	Pare, Jr. et al.	382	115	
	AK	5,793,028	08/1998	Wagener et al.	235	380	

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)			
	AP		
	AQ		
	AR		
	AS		

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Randy D. Sines		<div style="position: absolute; top: -40px; right: -40px; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="position: absolute; top: -20px; right: -20px; font-weight: bold; font-size: 1.2em;">SEP 1 2002</div> <div style="position: absolute; top: 0; right: 0; font-weight: bold; font-size: 1.5em;">GROUP 3600</div>		
				FILING DATE May 16, 2001				
U.S. PATENT DOCUMENTS								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	US 2001/0034724 A1	10/25/01	Theime	705	78	01/22/2001	
	AB							
	AC							
	AD							
	AE							
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	AG							
	AH							
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FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
	AP							
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	AR							
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EXAMINER					DATE CONSIDERED			
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